NPO SELF-REGULATION

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MSD director

INITIATIVES ON PROMOTING T&A

- Third party's certificates
- Self-regulations
- Learning groups
- Awards
- Information services
- Operation Standards
- Report framework
- Evaluating agencies
- Code of conduct
- Policies
- Partnership principles
- Monitoring and Evaluation
- Leadership initiatives
- Management system
- Culture
- Checklist
- Decision making process
- Participation policy
- Complaint mechanism
- SECTOR
- ORGANIZATION
Content

1. The purpose of TAP Code
2. Benefits of the Code for CSOs
3. The process of development
4. Main contents of the Code
5. CSO TAP support program
6. Signing up and committing to practice the Code
Purpose of the Code

“to provide a set of standards and core values for Vietnamese CSOs to practice effective transparency and mutual accountability for their effectiveness in development activities”

Why transparency and accountability are necessary?

- To empower and ensure democracy and social equity
- To be accountable to the stakeholders
- To ensure effectiveness of and sufficient budget for operation
What are the benefits of the Code for CSO?

- **providing guidelines** on good practices of transparency and accountability
- **setting benchmarks** for CSOs’ self-assessment and adjustment
- being a **foundation** for organization development, effective management, sustainability and professionalism
- **improving trust** in CSO’s relationship with donors, beneficiaries, staff/members, state bodies and other stakeholders.
- contributing to CSOs’ joint efforts to **promote democracy, social progress and development**

The process of the Code development

- **Launching CSO-TAI** - 8/2013
- **Drafting the Code** - 9/2013 – 2/2014
- **National Consulting Workshop** - 3/2014
- **Consulting Workshops in Hanoi – Hue – HCMC** - 4 – 6/2014
- **Consulting with state bodies, donors and experts** - 6/2014
Main contents of the Code

- Background – Purpose
- *Articles 1 – 4*: Terminologies, scope of application and code of conduct
- *Article 5 – 9*: Practice principles on transparency and accountability
- *Article 10*: Review and revision of the Code

Practicle principles on T & A

- Transparent and accountable governance
- Transparent and accountable program
- Transparent and accountable HR management
- Transparent and accountable finance management
- Communication and Fundraising
ODIC

1. PRACTICE
2. DEVELOPMENT
3. INSIRING CULTURE

TAPA – TRANSPARENCY AND ACCOUNTABILITY ASSESSMENT TOOL

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NGO AWARDS FOR BEST TAG

- Registration and pre-assessment
- TAG Evaluation
- Select best TAG practice
- Awarding, recognizing and communicating

VIETNAM: Policy advocacy

- BOOKLET
- CODE OF PRACTICE
- TOT BOOK
- PA HANDBOOK
- CASE BOOK
- CAPACITY BUILDING PACKAGE
INTRODUCTION
CODE OF PRACTICE FOR CSO PARTICIPATING IN POLICY DEVELOPMENT PROCESS
CSA VIETNAM

OBJECTIVES

- Practical guideline
- Policy advocacy basis
- Reference
MAIN CONTENT

I. INTRODUCTION
II. OBJECTIVES AND TARGET AUDIENCE
III. FRAMEWORK FOR CSO PARTICIPATION
   3.1. Legal framework
   3.2. Principles
   3.3. Principle application guideline
   3.4. Policy development process, roles and responsibilities of government agencies and CSOs
   3.5. Some recommendations

PARTICIPATION PRINCIPLES
**PRINCIPLE: PARTICIPATION**

- **Low LEVEL OF PARTICIPATION**
  - INFORMATION
  - CONSULTATION
  - DIALOGUE
  - PARTNERSHIP

- **High LEVEL OF PARTICIPATION**

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**PRINCIPLE: TRANSPARENCY AND ACCOUNTABILITY**

- **Low LEVEL OF T&A**
  - READINESS
  - ACTIVENESS
  - MONITORING AND CRITIZING

- **High LEVEL OF T&A**
**POLICY DEVELOPMENT PROCESS**

- **ROLES OF GOVERNMENT**
  - Policy initiatives
  - Modification
  - Evaluation
  - Implementation

- **ROLES OF CSOS**
  - Drating and issuing

**USEFUL MECHANISM AND TOOLS FOR CSO PARTICIPATION**

**RECOMMENDATIONS FOR EFFECTIVE PARTICIPATION**

- E-participation
- Capacity building
- Cooperation mechanism
- Cooperation framework
THANK YOU!

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